



# A Survey on Measuring Cognitive Workload in Human-Computer Interaction

THOMAS KOSCH, HU Berlin, Germany

JAKOB KAROLUS, German Research Center for Artificial Intelligence, Germany

JOHANNES ZAGERMANN and HARALD REITERER, University of Konstanz, Germany

ALBRECHT SCHMIDT, LMU Munich, Germany

PAWEŁ W. WOŹNIAK, Chalmers University of Technology, Sweden

The ever-increasing number of computing devices around us results in more and more systems competing for our attention, making cognitive workload a crucial factor for the user experience of human-computer interfaces. Research in **Human-Computer Interaction (HCI)** has used various metrics to determine users' mental demands. However, there needs to be a systematic way to choose an appropriate and effective measure for cognitive workload in experimental setups, posing a challenge to their reproducibility. We present a literature survey of past and current metrics for cognitive workload used throughout HCI literature to address this challenge. By initially exploring what cognitive workload resembles in the HCI context, we derive a categorization supporting researchers and practitioners in selecting cognitive workload metrics for system design and evaluation. We conclude with three following research gaps: (1) defining and interpreting cognitive workload in HCI, (2) the hidden cost of the NASA-TLX, and (3) HCI research as a catalyst for workload-aware systems, highlighting that HCI research has to deepen and conceptualize the understanding of cognitive workload in the context of interactive computing systems.

CCS Concepts: • **Human-centered computing** → **HCI design and evaluation methods**; *HCI theory, concepts and models*; • **General and reference** → **Surveys and overviews**;

Additional Key Words and Phrases: Cognitive workload, workload assessment, categorization, questionnaires, workload-aware computing, physiological sensing, cognition-aware interfaces

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Authors' addresses: T. Kosch, HU Berlin, Rudower Chaussee 25, 12489 Berlin, Germany; email: [thomas.kosch@hu-berlin.de](mailto:thomas.kosch@hu-berlin.de); J. Karolus, German Research Center for Artificial Intelligence, Trippstadter Str. 122, 67663 Kaiserslautern, Germany; email: [jakob.karolus@dfki.de](mailto:jakob.karolus@dfki.de); J. Zagermann and H. Reiterer, University of Konstanz, Universitätsstraße 10, 78464 Konstanz, Germany; emails: {[johannes.zagermann@uni-konstanz.de](mailto:johannes.zagermann@uni-konstanz.de), [harald.reiterer@uni-konstanz.de](mailto:harald.reiterer@uni-konstanz.de)}; A. Schmidt, LMU Munich, Frauenlobstraße 7a, 80337 Munich, Germany; email: [albrecht.schmidt@ifi.lmu.de](mailto:albrecht.schmidt@ifi.lmu.de); P. W. Woźniak, Chalmers University of Technology, Chalmersplatsen 4, 412 96 Göteborg, Sweden; email: [pawel.wozniak@chalmers.se](mailto:pawel.wozniak@chalmers.se).

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## 1 INTRODUCTION

Due to the increasing number and complexity of novel computing systems, be it personal devices or public computers, the impact of cognitive workload during the interaction with human-computer interfaces has become a relevant factor affecting user experience. The research field of Human-Computer Interaction (HCI) develops designs, conducts user studies, and evaluates interactive computing systems, focusing on improving usability and user experience. Cognitive workload analysis during interface design and its posterior evaluation is an ongoing research area in HCI. While HCI researchers use the term “cognitive workload” extensively, there is, to date, no consensus on a definition of the concept, nor is there a gold standard for measuring it. At the same time, the assessment of cognitive workload remains a primary objective in HCI research. Cognitive workload is viewed as a factor that must be reduced or kept at an engaging level to achieve a satisfying user experience. By considering cognitive workload aspects, the HCI community strives to build improved user interfaces. Yet, the detailed history of how cognitive workload was adapted to HCI from the field of psychology [88, 89, 149] via HCI’s human factors legacy remains uncharted. The HCI community used cognitive workload measures as early as 1994 [33], but the concept’s generic applicability to computer systems remained a largely unexplored topic.

Parallel notions of the concepts of cognitive workload emerged in HCI literature, resulting in an epistemic problem: On the one hand, cognitive workload is widely recognized as an important metric. On the other, no universal measures or definitions are recognized by the community. Despite this, research and practice use cognitive workload extensively to benchmark user interfaces where different human cognitive systems, such as memory, motor, visual, and auditory perception, are used. Traditionally, research in HCI employed questionnaires [90, 91] or think-aloud protocols [190] as cognitive workload measures. The NASA-TLX [90, 91] questionnaire has become a household name in HCI research, and it is widely taught in HCI education. With the advent of ubiquitous computing, sensing technologies enabled building systems that use physiological sensing data and user behavior as an objective indicator [38] of a user’s mental workload. Sensing technologies provided researchers with a potent tool to objectively quantify the evoked workload of their user interface design in real-time and, going beyond, allow cognitive workload to be treated as a real-time input for user interface adaptations [70, 262]. This enables researchers to design for specific mental states of their users, providing a more fine-grained view on workload analysis or adapting interfaces to individual cognitive workload states.

Although well-researched literature exists about the evaluation of human work about cognitive and ergonomic factors [253], the missing consensus within the HCI field still leads to misuse of metrics and, ultimately, misunderstanding the concept of cognitive workload. Misinterpretation of research findings, lack of reproducibility, and unsuitable measurement modalities are possible threats if cognitive workload is not fully understood. Furthermore, researchers and practitioners are challenged by an abundance of cognitive workload assessments, potentially hindering a proper evaluation of computing systems adapting to user workload. Consequently, there is a need to categorize cognitive workload metrics in HCI research to support researchers and practitioners in their choice of evaluation metrics and allow a consistent interpretation of their findings within the scope of studies conducted in the HCI field.

This paper presents a systematic review of the concept and measurements of cognitive workload in HCI research. Through charting the various metrics used for cognitive workload, we identify opportunities and challenges for the future use of cognitive workload metrics in HCI. Further, we present a categorization providing insights into choosing appropriate cognitive workload metrics. Our work focuses explicitly on HCI’s implicit understanding of cognitive workload rather than drawing from a theoretical background as suggested by past work [98]. Consequently, we seek to chart *how HCI understands and measures cognitive workload*. We contribute results that show that

the HCI field has primarily measured cognitive workload using post-hoc questionnaires, most predominantly the NASA-TLX. Later work used physiological sensing to use workload as an input or conduct in-depth analyses of how workload varies during a task. Finally, our review shows opportunities for educating and guiding researchers to understand the role of cognitive workload in HCI, allowing them to conduct focused and reproducible research through an improved understanding of cognitive workload metrics.

## REVIEW SYNOPSIS

The review provides researchers and practitioners interested in evaluating interactive systems with an overview of the theoretical background, metrics, and uses for cognitive workload in HCI research. In contrast to previous research reviewing work on cognitive workload [15, 60, 64, 65, 126, 186, 265], our survey focuses on cognitive workload assessments in the field of HCI to support researchers in their choice of cognitive workload measurement for their studies. We summarize metrics used throughout HCI research to assess cognitive workload for interface optimizations and adaptations. This paper makes four key contributions: (1) We contextualize our inquiry to introduce cognitive workload theory. (2) Next, we report on our review methodology and describe frequently used cognitive workload metrics in the research of HCI. (3) We then categorize cognitive workload metrics to support the selection of appropriate metrics in future HCI studies. This includes a step-by-step procedure to select appropriate cognitive workload measurements (see Figure 4) and an interactive paper library that can be extended by future research contributions from the HCI community,<sup>1</sup> hence providing researchers and practitioners with an up-to-date tool for an efficient selection of suitable cognitive workload metrics beyond this paper. (4) Finally, we present three research gaps to advance the field and discuss opportunities and possible pitfalls for future HCI research that uses cognitive workload as an evaluation metric or an input modality.

## 2 BACKGROUND

We begin our inquiry by exploring how HCI researchers and practitioners understand and use cognitive workload measures in their studies. Previous research has presented different theories or models originating from the field of educational [231, 234] and cognitive psychology [88, 89, 250–252]. A majority of these concepts originate from the field of human factors, where HCI was adapting the concepts for their workload assessments. Although the two fields, human factors and HCI, have deviated during the past years [84], several cognitive workload assessments from human factors were adopted for HCI research. In this context, the HCI community uses the general terms “cognitive workload”, “cognitive load”, or “mental workload” in most of their studies to describe the cognitive demand of a task. Although these theories lay the foundations for assessing cognitive workload in human factors, cognitive psychology, and pedagogy, they were not designed for the HCI field per se. Subsequently, mental workload assessments were used to evaluate the cognitive demand of interacting with user interfaces although they were not originally designed for the design and evaluation of user interfaces. For example, the NASA-TLX questionnaire [91], a method to assess pilot workload, was used as a mental workload measure in 1994 [33] in an HCI context for the first time.

The term workload characterizes the required mental effort to complete a task (“work”) with several constraints (“load”), such as fixed periods or large batches of tasks [172]. Extensive cognitive workload influences an individual’s task performance and ability to cope with concurrent tasks. Whereas cognitive workload is often associated with a negative experience, it can be essential to elicit positive effects during daily activities. Nevertheless, a permanent high cognitive workload

<sup>1</sup><https://www.thomaskosch.com/cl-paper-library> - last access 2023-01-24.

harms attention and focus when there is an imbalance between the individuals' cognitive abilities and the task difficulty, potentially distracting the person and eliciting mistakes. Cognitive workload occurs when events demand the mental resources of individuals in a specific context. These events can occur within an external context, such as interruptions caused by notifications, or an internal context, where the demand is inherent to the task. Multiple events that simultaneously impact a single processing channel lead to impact cognitive processing performance [250–252]. How well a person copes with these events depends highly on their cognitive prowess and the type of events. For example, users interrupted by notifications revert faster to their previous mental state when multiple disruptions during long-term tasks demand higher cognitive abilities [147]. During times of high cognitive workload, the body allocates resources needed for dealing with the load. This includes changes in cortical activity, blood flow, an increase in respiration rate and electrodermal activity, or differences in eye behavior [56].

## 2.1 Understanding Cognitive Workload

Before we explore different workload theories and how they are employed in HCI research, we will clarify the understanding and term usage between them. Specifically, we will clarify the terms “cognitive load” and “mental workload”. The term “cognitive load” was coined by Sweller [231] to describe the instructional design of pedagogical methods. Later, the three terms *intrinsic load*, *germane load*, and *extraneous load* were introduced to separate cognitive load into different modalities [234]. Although these concepts do not originate from the HCI field, we decided to include them in this survey paper since an increasing number of HCI papers started to mention the cognitive load theory to justify their workload assessment (cf. [6, 98]).

More recent work investigated the additive interaction between the two different concepts “cognitive load” (i.e., *intrinsic load*, *germane load*, and *extraneous load*) and “mental workload” [75]. Their results revealed an impact between task difficulty and time pressure, modulating the alertness, subjective, and psychophysiological workload measures of users. Thus, the definition and interpretation of cognitive workload including its underlying theories and models is a subject of controversy in psychology [57]. In the following, we describe concepts that theorize cognitive workload from an HCI perspective. These initial concepts led to a categorization of workload modalities later adopted for studies in the field of HCI. We begin by exploring theories, measurements, and assessments adopted in past HCI studies.

Since it originated in the 1980s, Sweller's cognitive load theory has become an acknowledged field within the field of instructions and learning design [64]. Sweller developed the cognitive load theory [231–233], a theoretical framework that explains how learning is limited through instructional design. Later, the theory divides the relationship between instructional design and problem-solving into three components: *intrinsic load*, *germane load*, and *extraneous load* [234]. Initially, Sweller's cognitive load theory originated from educational psychology and was unrelated to how interfaces impact user workload in HCI research. However, it provides an initial understandable separation between the different allocated mental resource fragments. Sweller assumes that each component cumulatively allocates and demands mental resources. *Intrinsic load* describes the inherent complexity of a task. Thus, the efficacy of a person to solve a given task heavily depends on their skill and ability to understand patterns or sequences. *Intrinsic load* is not trivial to manipulate since the intrinsic task complexity is associated with a person's necessary individual cognitive resources and proficiency. Keeping *intrinsic load* at a *sweet spot*<sup>2</sup> is considered to foster task engagement while reducing frustration [263]. *Germane load* represents

<sup>2</sup>A *sweet spot* is the optimal proximal zone, where a user is not affected by frustration or boredom that originates from cognitive over or underload.

the effort to process patterns within a task. Realizing new schemes or patterns that help solve a task may increase task engagement and foster learning. Thus, the maximization of *germane load* is emphasized as a crucial factor when designing engaging user interfaces. However, this is highly disputed among psychologists, where *intrinsic load* and *germane load* are considered to be identical [109, 218]. *Extraneous load* is manipulated by the task representation that can be perceived via human perception. For example, in the HCI context, a well-designed interface visualization allows for an easier interpretation of data. Relative to the other workload types, *extraneous load* is theoretically trivial to manipulate since the task representation can be exchanged through design. *Extraneous load* can be minimized to avoid unnecessary allocations of cognitive resources to resolve the intrinsic task complexity. Hence, *extraneous load* is directly related to the interface's representation and user interpretation. Work in HCI exists using *extraneous load* as the relationship between the visual representation of a task and measured workload [6, 98].

In contrast, “mental workload” is related to the cognitive demand of a task itself [172]. In contrast to the aforementioned cognitive load theory, mental workload refers directly to cognitive resources allocated by a task. The attention and task performance are more severely impacted the more mental workload is required. In this context, Wickens’ suggested a multiple resource theory, proposing that human operators do not have one information processing channel [250, 251], but several processing channels that can be addressed simultaneously. If one information processing channel is overly demanded, for example, through the sequential processing of a task, the more the performance is expected to decrease. Thus, users need more information processing resources, especially when performing multiple tasks on the same information channel, leading to errors and lowered task performance. However, simultaneously addressing different information channels, such as auditory or visual, does not necessarily cause mental overload.

The concepts of Sweller’s cognitive load theory and Wickens’ multiple resource theory are different, yet, they are used by the HCI community in a mixed way (cf. [6, 98]). The aim of this work is not to unify these theories. Rather, we aim to show how cognitive workload is measured by the HCI community and provide an instructional guide to choosing suitable workload measures. This paper systematically collects and summarizes workload assessment to guide the HCI community in selecting appropriate workload measurements. To avoid confusion regarding the terminology, we use the terms “cognitive workload”, “cognitive load”, and “mental workload” synonymously to describe workload imposed through the instructional system design of user interface visualizations (e.g., *extraneous load*) or cognitive demand of users who process information.

## 2.2 Cognitive Workload in HCI Research: A Tool for Designing and Evaluating User Interfaces

Well-designed user interfaces are meant to support their users in their operation, preventing permanent high and low workload while fostering appropriate cognitive engagement. Therefore, cognitive workload has emerged as a frequently used metric for designing and evaluating interactive systems in HCI research. The assessment of cognitive workload helps interface designers in two ways: using a constructive approach (e.g., during early design phases), where cognitive workload is measured to improve the design of novel interactive systems, or using a summative approach (e.g., using existing systems) to compare the cognitive workload evoked by, for example, different visualizations or interaction techniques. Additionally, cognitive workload can be used as an input to design engaging adaptive interfaces that, for example, adapt visualizations in real-time. This concept is often referred to as *Cognition-Aware Computing* [37] and *Workload-Aware Computing* [128].

While most users can cope with changes in cognitive workload for a short time, the long-term management of high cognitive workload can be challenging. Over- or under-challenged user states



are the result, leading to frustration or boredom [203]. In addition, health issues, such as burnout, mental exhaustion, or physical tiredness, may arise under prolonged exposure to cognitive workload [170]. To further elaborate on the role of cognitive workload in HCI research, we provide an example of a user interface inducing high workload and then present how HCI research uses cognitive workload assessments to improve this interface. For example, interfaces that require users to search for items or memorize lengthy menu structures can increase cognitive workload by straining their memory [24]. On the other hand, interacting with a lengthy menu list with many nestings for a short time might only impact the cognitive resources. However, fewer cognitive resources are available to solve the actual task if users are repeatedly forced to navigate the same complex menu structure at varying times. Here, the visual complexity of the representation of the menu structure might strain the user's cognitive resources. The visual complexity can be reduced by (1) providing, for example, shortcuts for repetitive actions directly on the interface, (2) including the task at hand as context information (e.g., only showing relevant items), or (3) sensing the cognitive workload through physiological sensing to predict following actions of a user. A typical HCI user study would compare different user interface alternatives (e.g., different visual representations of a menu) and measure the user's cognitive workload using questionnaires (e.g., NASA-TLX [91]) or physiological sensing. These assessments are tools for HCI researchers to design interfaces matching the cognitive resources of their users through the visual complexity of the representation of the user interface, hence manipulating extraneous load. Over the past 30 years, several metrics for cognitive workload have been explored by HCI research. Physiological sensing has gained attention as a measure of cognitive workload while, at the same time, new questionnaires have emerged to measure cognitive workload in various contexts. Hence, it becomes increasingly more challenging to maintain an overview of the most appropriate cognitive workload measurement modalities.

In this review, we investigate how this definition was applied in the design of interactive systems. To this end, we summarize how extraneous load was evaluated in previous HCI user studies and encapsulate the measures used to foster a shared understanding of cognitive workload assessments. This review focuses on the field of HCI in a computing sense, i.e., the interdisciplinary research domain and community historically built around the ACM Conference on **Human Factors in Computing Systems (CHI)** [84], usually classified by ACM as Human-Centered Computing. Thus, our inquiry addresses conceptualizations of cognitive workload within studies of discretionary use of computers [84]. We recognize that our analysis focuses solely on this academic tradition and that other communities, e.g., **Human Factors Engineering (HFE)** or Ergonomics, have built a more thorough understanding of workload (i.e., in the domain of human-machine interaction). We aim to show current practices, execution, and interpretation of cognitive workload assessments within the HCI community.

### 3 REVIEW METHODOLOGY

Our review follows an iterative process of identifying relevant venues, filtering, and analyzing interest publications. This section describes our approach to the systematic review. We outline the inclusion criteria of our literature search and the phases of the refinement process (exclusion criteria). We followed the PRISMA procedures [121, 174] for systematic reviews and meta-analyses. The complete PRISMA is depicted in Figure 1. We built our literature corpus by systematically identifying relevant papers through a keyword search in all proceedings and journals related to the field of HCI.

#### 3.1 Systematic Search

The first phase of our review was a systematic keyword search in the digital library databases relevant to the HCI field.

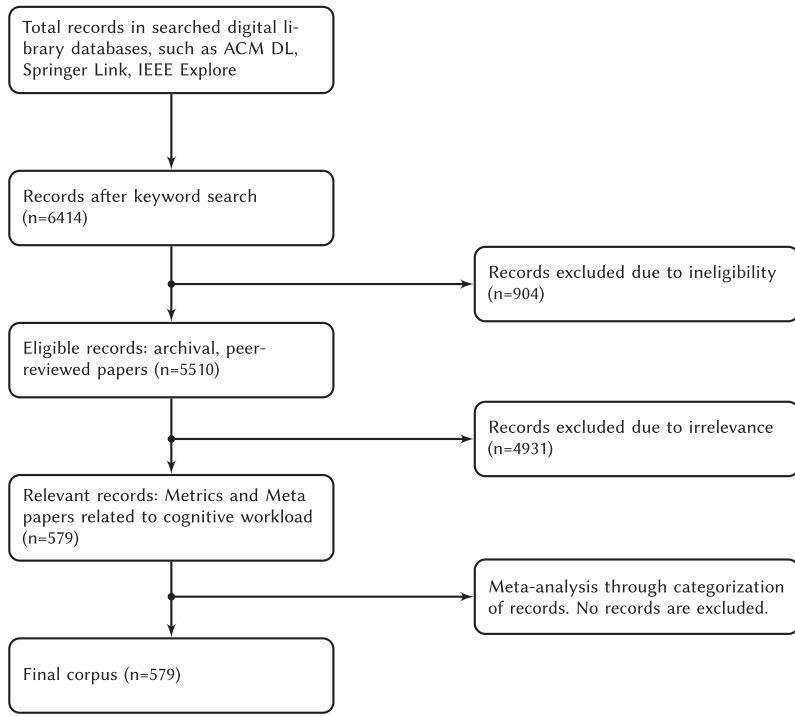


Fig. 1. PRISMA flow chart highlighting the stages of our systematic search as detailed in Sections 3.1 and 3.2.

**3.1.1 Inclusion Criteria for Venues.** We decided to include all proceedings and journals in the research area of HCI in our search. To get an objective overview, we used Microsoft Academic<sup>3</sup> search to obtain conference and journal rankings in HCI. For conferences, we decided to include the top 20 venues and six conferences in the top 40, all known to the authors as featuring several papers highly relevant for this review. For journals, we included all top 10 journals. We additionally confirmed the relevance of the venues via CORE<sup>4</sup> rankings. We accumulated all matching papers (cf. Section 3.1.2) within all the digital libraries, which contained at least one of the conferences or journals listed.<sup>5</sup> We adjusted the search syntax to work with the search APIs provided by the publishers. This process resulted in an initial corpus of 6414 papers. The final cut-off date for all venues was the 30th of April 2020.

**3.1.2 Inclusion Criteria for Keywords.** We have introduced an interpretation of the term “cognitive workload” and its implication for interaction in Section 2. We discovered that the term is used with different semantics during this survey. To this end, we iteratively refined our keywords and evaluated these on a base corpus of top-rated venues as presented in Section 3.1.1. Starting from the initial keyword “cognitive workload”, we identified synonyms used in literature and extended our set of keywords. The final regular expression used in the review is presented in Equation (1). This expression matches all commonly used terms and synonyms related to cognitive workload. Using the expression in the relevant databases resulted in our initial set of papers:

$$((\text{cognitive} \mid \text{mental}) (\text{load} \mid \text{workload})) \mid (\text{working memory}) \quad (1)$$

<sup>3</sup>Service discontinued, previously available via <https://www.academic.microsoft.com>.

<sup>4</sup><https://www.core.edu.au> - last access 2023-01-24.

<sup>5</sup>Publishers changed for some venues.

### 3.2 Review Process

Our systematic search yielded an initial corpus of 6414 papers in total; 4408 from conference proceedings and 2006 from journals. This section outlines how we filtered the papers to reach our final corpus. We employed two exclusion criteria and a final categorization of our paper selection as a meta-analysis step.

*3.2.1 Exclusion Criteria.* As detailed below, we reviewed the initial corpus concerning paper eligibility and relevance.

*Paper Eligibility.* We only considered archival, peer-reviewed papers, i.e., journal and conference papers, full and short papers, and book chapters. This approach eliminates workshop summaries, posters, works in progress, and other non-archival publications. We excluded 904 papers in this step.

*Relevance.* We used a double decision scheme to filter the initial corpus of eligible papers (5510 records). Four researchers participated in the filtering process. Per publication, two researchers independently assessed whether the publication was relevant to the survey. Using researcher triangulation, we ensured that at least two researchers had read every paper in the final corpus. No author had assessed the relevance of their work. Papers were considered relevant if they exhibited one of the following characteristics:

- **Metrics paper:** These papers discuss a system or application, including a form of cognitive workload that was measured, either using it as an evaluation metric or as an input channel.
- **Meta paper:** These papers discuss a concept of cognitive workload on a meta-level, such as definitions, application concepts, and possible frameworks for HCI research.

Each publication rated relevant by both researchers was included in the final corpus. In addition, we excluded another 4931 papers during this step. This final corpus (579 records) was then subjected to our analysis, extracting employed modalities in the categorization step.

*3.2.2 Categorization.* We aimed to understand the content structure within the corpus through this categorization, especially the employed modalities to measure cognitive load. Thus, we additionally categorized metrics papers into works that used subjective metrics and those that used objective metrics. Finally, we directly filtered by employed modalities, such as questionnaires or gaze, in a more refined categorization. During this step, we did not exclude any publications.

To systematically code all papers and build a taxonomy of all cognitive workload measures used in HCI, we used a qualitative analysis approach where we listed all cognitive load measures used in all papers in the corpus. In a coding meeting, four researchers then agreed on a naming scheme for the methods to achieve a uniform matrix of measures in papers. We then used affinity diagramming to iterative group papers and methods to reach a final taxonomy of methods. While applying this coding method does not guarantee that the proposed taxonomy is the only correct one, as no qualitative analysis can offer such a result, our approach ensures that the structure is comprehensive.

### 3.3 PRISMA Flow Graph

In the following, we present the complete PRISMA flow graph for our systematic review as detailed in this section (cf. Sections 3.1 and 3.2). It depicts the individual stages of paper inclusion and exclusion (right-hand side).



### 3.4 Literature Corpus

Our final corpus includes a total of 579 records: 482 records from 26 conferences<sup>6</sup> and 97 records from 11 journals. The complete list is available on our website.<sup>7</sup> Among the irrelevant papers, most were excluded due to the ambiguity of the term “working memory”. Especially in computer science venues, this is a technical term used in processor architecture. Moreover, papers often used cognitive workload to motivate research or explain results. Here, cognitive workload is merely a hypothetical factor contributing to results. These works did not actively engage with metrics or concepts related to cognitive workload and were subsequently excluded.

## 4 COGNITIVE WORKLOAD METRICS IN HUMAN-COMPUTER INTERACTION

Informed by the records of our literature corpus, we describe current practices for cognitive workload measurements in HCI. This section aims to provide a comprehensive overview of individual metrics, their respective characteristics, and their relation to each other to inform researchers about the functionality of the measurement. Please refer to Section 5 on how these metrics are employed in HCI studies, including a step-by-step guide on choosing an appropriate metric. Additionally, our website provides an interactive search-and-filter interface supporting researchers and practitioners to find relevant literature – and with this suitable cognitive workload measurements – for their work. We present the whole spectrum from subjective (e.g., questionnaires) and objective (e.g., physiological) measurements. If not stated differently, details on the analyses of the measures can be found in the referenced literature. As an overview, we provide Figure 2 and Figure 3 depicting numbers for selected modalities<sup>8</sup> and the relationships among them.

### 4.1 Questionnaires

Questionnaires incorporate questions to infer the experienced cognitive workload of a single trial or whole experiment. This is often indicated by a score that is assigned by participants. The magnitude of the score (i.e., lowest and highest score) depends on the design of the questionnaire itself. Questionnaires have two key advantages, which make them popular among HCI researchers: First, they can be easily deployed by asking a participant to fill in questionnaires after an experimental condition. This removes the inconvenience of rather complex workload measures that require a prior rigorous setup. Secondly, they provide a predefined and straightforward procedure to analyze the workload score. The cognitive demand of the presented stimuli is quickly quantified through automatic or manual analysis of workload scores. However, cognitive workload measures based on questionnaires are prone to biases. Questionnaires lack real-time capabilities since they are employed *after* an experimental condition. Hence, these measures aggregate their workload measure of an experimental condition into a single score, making it challenging to measure fluctuations of cognitive workload *during* the experimental condition or user interface interaction. Furthermore, questionnaires are highly susceptible to subjective perception: Users assess questionnaires depending on their perception, so it becomes difficult for the experimenter to interpret the results if high variations of workload scores exist within the same experimental condition. Despite the disadvantages above, questionnaires resemble the most used metric for cognitive workload among HCI researchers and practitioners (see Figure 2). We describe the design of the questionnaires that emerged as relevant throughout the literature review in the following subsections.

**4.1.1 NASA Task Load Index.** The NASA Task Load Index (NASA-TLX) [90, 91] is a multi-scale questionnaire to assess participants’ perceived subjective workload. Its origins date back to the

<sup>6</sup>Including conferences that switched to a journal format.

<sup>7</sup><https://www.thomaskosch.com/ci-paper-library> - last access 2023-01-24.

<sup>8</sup>We decided to omit less frequent modalities for visual clarity.

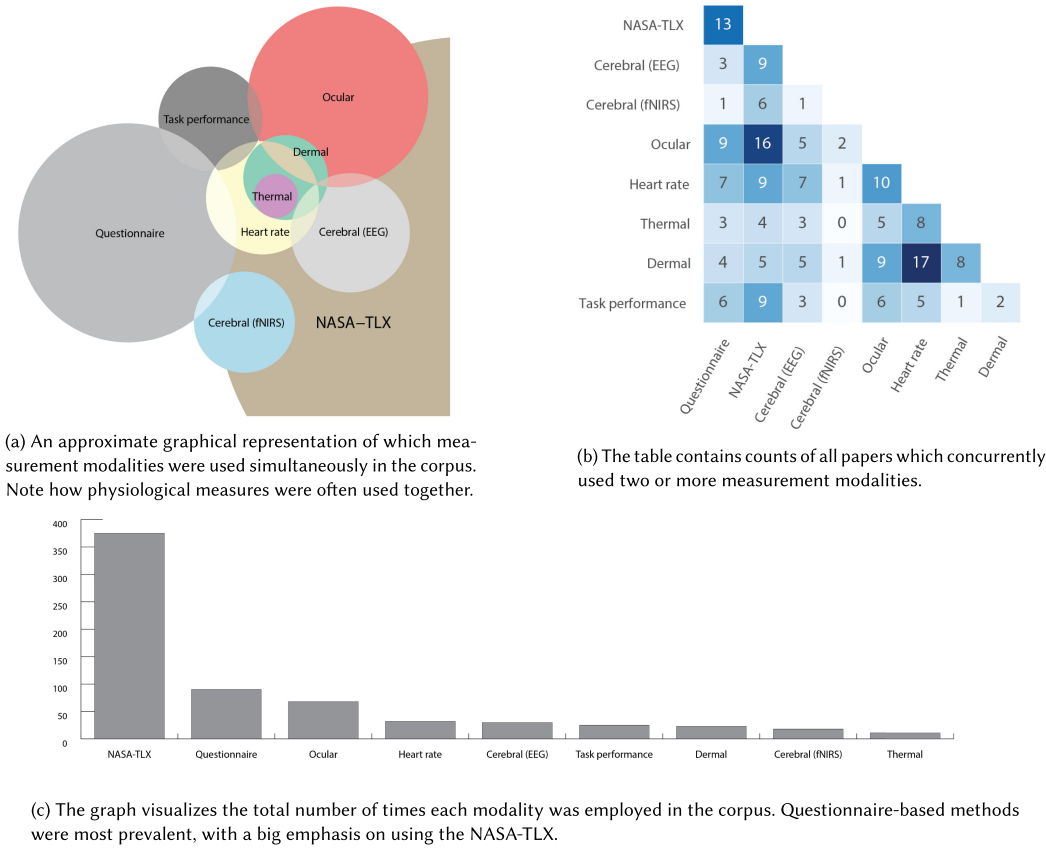
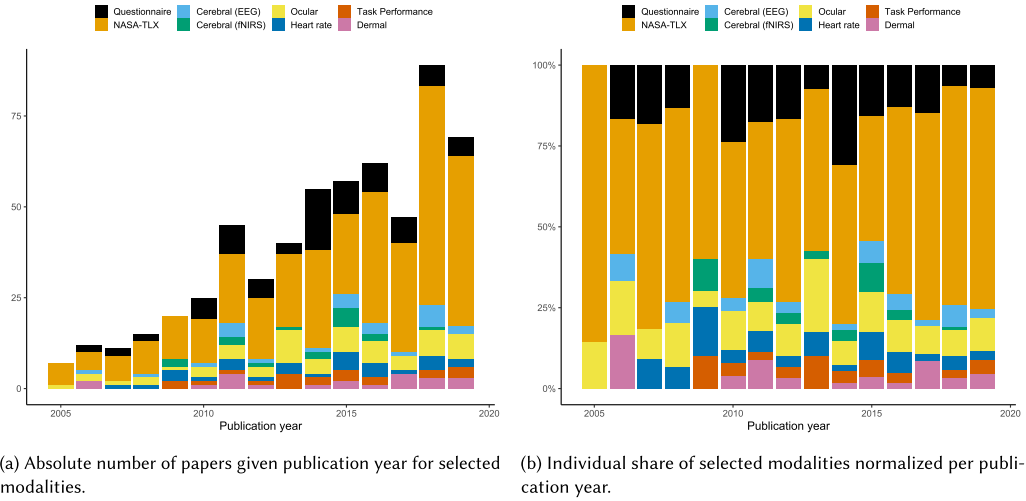


Fig. 2. An overview of the different modalities used to estimate cognitive load in the corpus.

1980s when NASA researchers introduced it to study task load of pilots. Although it was not originally designed to evaluate cognitive workload based on the interaction with a computer, it is the most frequently used questionnaire to measure cognitive workload in our literature corpus (cf. Figures 2 and 3). For the questionnaire, the overall workload is split into six sub-scales: (1) Mental Demand, (2) Physical Demand, (3) Temporal Demand, (4) Performance, (5) Effort, and (6) Frustration. Each sub-scale has an additional description that participants need to read before rating the specific sub-scale to minimize misunderstandings (e.g., “How mentally demanding was the task?” for the *Mental Demand* sub-scale). Sub-scales are horizontal lines divided into 20 intervals with bipolar descriptions on the left and right (i.e., Low/High). Tick marks with increments of 5 allow participants to have ratings between 0 and 100. Researchers can then analyze the overall perceived workload in two ways: (a) with an individual sub-scale weighting, or (b) without an individual sub-scale weighting, often referred to as “Raw NASA-TLX”.

**4.1.2 Dundee Stress State Questionnaire.** The Dundee Stress State Questionnaire (DSSQ) is a questionnaire measuring task-induced stress [167, 168]. Past research used this questionnaire to measure cognitive workload, assuming that stressful situations are associated with negative emotions that lead to higher cognitive demand. The DSSQ employs 11 state factors, which are associated with the three dimensions of *Task Engagement* (factors: Energetic Arousal, Tense Arousal, Hedonic Tone), *Distress* (Intrinsic Motivation), and *Worry* (Self-focus of Attention, Self-Esteem,



(a) Absolute number of papers given publication year for selected modalities.

(b) Individual share of selected modalities normalized per publication year.

Fig. 3. An overview of the eight most prominent modalities broken down by publication year. Note how the overall number of modalities increases over time, yet the distribution of individual shares stays rather constant over time. The metrics are highlighted in bold font in Table 1 excluding questionnaires.

Confidence, and Control, Concentration, Task-related Cognitive Interference, Task-irrelevant Cognitive Interference) of which each scale consists of eight items.

**4.1.3 Instantaneous Self-Assessment.** The **Instantaneous Self-Assessment (ISA)** [237] is a uni-dimensional subjective workload assessment technique. Participants rate their perceived workload in predefined intervals during the execution of a task – allowing for real-time assessment of cognitive workload. Participants are continually asked to self-rate their perceived workload during a task on a scale of 1 (‘underutilized’) to 5 (‘excessive’). While this can be done using pen and paper, traditionally, an additional keypad consisting of five buttons (each button representing a workload level) and a flashlight (flashing when a rating is requested) is required.

**4.1.4 Bedford Workload Scale.** The Bedford Workload Scale [205] is a uni-dimensional rating scale to determine free mental capacity. It consists of a hierarchical decision tree, and participants are asked whether (1) it was possible to complete the task, (2) the workload was tolerable, and (3) the workload was satisfactory without reduction. Depending on the decision, participants then have to rank their perceived workload on a rating scale with endpoints from *workload insignificant* (1) to *task abandoned* (10). This value represents the perceived workload of a participant.

**4.1.5 Workload Profile.** The “Workload Profile” questionnaire [241] combines a *Psychophysical Scale*, the *Bedford Workload Scale*, and a *Workload Profile* to measure cognitive workload. The psychophysical scaling procedure assigns an arbitrary workload score to a reference task. After completing the reference task, the participants are asked to rate the cognitive demand of the other conditions relative to the reference task. There are no numeric restrictions in the workload rating. Hence, each rating is subjectively proportional regarding the difficulty assigned to the reference task. Conceptually similar to the Bedford Workload Scale, the *Workload Profile* collects the proportion of remaining attentional resources after the subject has experienced all tasks. Eight workload dimensions are rated, summed up, and compared to the other two scales.

**4.1.6 System Usability Scale.** The **System Usability Scale (SUS)** [35] is a ten-item questionnaire for the subjective assessment of usability. Each item is represented as a statement (e.g.,

“I found the system unnecessarily complex”), and participants use five-point Likert scales to indicate the extent to which they agree with the specific statement (i.e., strongly disagree (1) to strongly agree (5)). The SUS has a predefined procedure to calculate the overall SUS score between 0 and 100. Although the SUS represents a classical subjective assessment method for usability, previous research has argued that cognitive workload can be “calculated indirectly through some of the questions in the SUS” [204] (e.g., “I thought the system was easy to use”).

**4.1.7 Rating Scale Mental Effort.** The **Rating Scale Mental Effort (RSME)** [267] is a single-scale questionnaire with nine workload levels ranging from “not at all hard to do” to “tremendously hard to do.” The RSME scale consists of a 150-millimeter vertical line with tick marks from 0 to 150 on the left-hand side and nine workload levels as labels on the right-hand side. Participants rate their workload on this scale. It is noteworthy that the lowest workload classification (“Not at all hard to do”) is not represented as value 0, and neither is the highest workload classification (“Tremendously hard to do”) represented as value 150. This allows participants to rate their workload beyond the predefined classification.

**4.1.8 Driving Activity Load Index.** The **Driving Activity Load Index (DALI)** [190] is a multi-scale questionnaire to assess participants’ perceived subjective workload. The DALI is an adapted version of the NASA-TLX, yet tailored to the driving task. Similar to the NASA-TLX, the DALI consists of six scales: (1) Effort of Attention, (2) Visual Demand, (3) Auditory Demand, (4) Temporal Demand, (5) Interference, and (6) Situational Stress. Participants rate each sub-scale on a 100-point range with 5-point steps. In line with the NASA-TLX, an individual sub-scale weighting is needed to compose a global workload score.

**4.1.9 Cognitive Task Load Model Questionnaire.** The “Cognitive Task Load Model” resembles a theoretical model to analyze cognitive workload [179], which was developed explicitly for naval ship control centers. Cognitive workload is formalized as a metric to refine allocated mental resources, which a user interface can utilize to provide adaptive in-situ support. Users are observed and classified into an abstract model that monitors workload utilization rather than assigning scores to conditions. In contrast to the other workload-related questionnaires, the cognitive task load model does not result in a quantitative score but a classification into the framework.

**4.1.10 Customized Questionnaires.** In addition to the established questionnaires, previous research has incorporated various custom questionnaires to subjectively measure cognitive workload – tailored to their specific use cases or tasks. In addition, they often ask informally about the perceived mental effort (e.g., using Likert scales).

## 4.2 Cerebral System

The human brain is the information and control unit of a human being. Thereby, approximately 100 billion neurons underlie human cognition [93]. Electrical activity in several brain regions can be measured by placing electrodes on the scalp. Neurons communicate by exchanging electrical activity using neurotransmitters, a chemical substances transferred between neurons. Measuring cerebral activity has a rich history in which scientists experimented with different modalities to capture cortical activity. In 1929, Hans Berger published his first report about cortical electrical measurements that can be captured by placing electrodes on the outer scalp of the brain [144]. Since then, Berger became recognized as a pioneer in the quantification of cerebral activity. Other modalities, such as Functional Near-Infrared Spectroscopy (fNIRS) that investigate the oxygen levels in the blood, or Functional Magnetic Resonance Imaging (fMRI) that measures the electromagnetic responses of the brain, was introduced in the 70s [69] and 90s [229], respectively, to

obtain more accurate brain imaging measurements at the cost of temporal resolution. We present the functionality of the brain measurements and their features in the following.

**4.2.1 Electroencephalography (EEG).** EEG is commonly leveraged in clinical applications and yields a non-invasive method to estimate cortical activity [166, 180, 254]. Electrical potentials between  $1\mu v$  and  $100\mu v$  (microvolts) are measured by placing conductive electrodes on a scalp. An additional electrode serves as a reference electrode, which can be placed on the earlobe or scalp [163]. The measured EEG potentials allow the processing and extraction of different features. Machine learning has been used on these features to retrieve insights into cognitive processes [155, 156]. EEG has been used to discriminate between cognitive states [71, 83, 148], as a measurement for user experience evaluation [70], and as an input method [14, 238]. For example, changes in electrical potentials are observed by analyzing frequency bands. Previous work found a drop in frequencies of alpha (8–12 Hz) and an increase of theta (4–8 Hz) [122, 129, 130, 135] when subjects had to raise mental capacities. An alternative approach is the assessment of **Event-Related Potentials (ERPs)** to infer mental workload [36, 206]. To complement this, real-time brain visualizations enable deeper insights into sequences of neuronal activity [132].

However, EEG measurements are prone to noise. Head movements, muscle contractions, eye movements, or even eye blinks cause changes in the electrical field on the scalp. Researchers are concerned about this and invest significant effort to reduce the number of measurement artifacts [53, 73, 127, 181]. Since artifacts cannot be completely avoided, EEG often requires a controlled environment comprising minimal body movements by the user. Such conditions are often impractical for end-users due to their high experimental control. However, recent technical advances ameliorate these disadvantages [176], which allows artifact corrections for EEG during mobile settings [29]. The barrier to using EEG in the real world has been lowered by making EEG headsets accessible to the consumer market. These are usually priced between \$249<sup>9</sup> and \$1600.<sup>10</sup> More affordable open source solutions can be acquired within the OpenEEG Project.<sup>11</sup>

**Frequencies.** Different oscillations in EEG signals are attributed to several cognitive states. The six bandwidths delta (1 Hertz (Hz)–3 Hz), theta (4 Hz–7 Hz), alpha (8 Hz–12 Hz), lower beta (13 Hz–20 Hz), upper beta (21 Hz–30 Hz), and gamma (31 Hz–100 Hz) power are predominantly employed when analyzing frequencies. Delta power is associated with varying levels of attention, salience, detection, and subliminal perception [125]. According to our literature survey, delta power has not been widely used to measure cognitive workload of computing systems. In contrast, changes in alpha and theta frequencies are correlated with the mental demand placed on working memory and increase or decrease in task engagement [77]. Theta oscillations correlate with the frontal anterior cingulate cortex regarding task engagement the users are experiencing [213]. Increased theta power is associated with higher task engagement, while lower theta power indicates low task engagement. The alpha power is associated with changes in brain resting states. Modulating alpha waves is achieved if the brain is resting, decreasing when users perform tasks requiring their memory. Since it is unknown if correlations between the demand of working memory (i.e., alpha power) and task engagement (i.e., theta power) might elicit a flow state, researchers use the theta-alpha ratio as a metric for cognitive demand [213]. Similarly, beta power is widely used as a metric for mental workload, including alertness, arousal, frustration, engagement, and workload states [141]. In contrast, gamma power indicates high mental activity at the somatosensory cortex [141]. Overall, theta, alpha, and beta power are dominantly used for workload assessments.

<sup>9</sup><https://www.choosemuse.com> - last access 2023-01-24.

<sup>10</sup>[https://www.futurehealth.org/bm\\_at1.htm](https://www.futurehealth.org/bm_at1.htm) - last access 2023-01-24.

<sup>11</sup><https://www.openeeg.sourceforge.net> - last access 2023-01-24.



*Event-Related Potentials.* Event-Related Potential (ERP)s are specific amplitudes that characteristically appear after users have perceived a stimulus [158]. Different amplitudes may be measured depending on the type of provided stimulus. For example, if a stimulus does not match a user's expectation, a negative peak in the electroencephalography (EEG) signal is measured after 400ms. In contrast, the processing of stimuli is visible after 300ms in the EEG signal. The increase in amplitude is known as P300, a specific ERP component that is suspected to correlate with cognitive workload [36]. Therefore, smaller ERP amplitudes are expected when users perceive stimuli that diversely demand their working memory. Tasks that require a large amount of working memory are more difficult to process, thus resulting in a smaller P300 amplitude. This provides an indicator for working memory placed on users when potentially interacting with a user interface.

*4.2.2 Functional Near-Infrared Spectroscopy.* Another non-invasive modality to measure brain activity is fNIRS [69]. fNIRS utilizes near-infrared light within a range of 650 nm and 1000 nm to measure changes in the concentration of **Oxygenated Hemoglobin (HbO)** and **Deoxygenated Hemoglobin (HbR)** in the human brain. The light emitters are placed on the human scalp and measure the outcoming light to affect the oxygen used. While fNIRS is famous for brain sensing in HCI research due to its easy setup [177], latencies exist between stimulus onset and the exact measure. This can make fNIRS challenging to be used with applications that require immediate feedback.

*4.2.3 Functional Magnetic Resonance Imaging.* fMRI is another non-invasive measure for cortical activity. In contrast to measures of electrical activity, fMRI uses magnetic fields to measure the level of blood oxygen in the brain [66]. fMRI uses electromagnetic waves instead of infrared light to find changes in blood oxygenation. Similar to fNIRS, it takes several seconds to measure changes in cortical activity after stimulus onset when using fMRI. While providing very accurate results, fMRI is a stationary and expensive measurement modality, making it rather unsuited to interactive systems.

### 4.3 Ocular System

The eyes are part of the human visual system. The eye collects light from the environment, focuses and tracks visual stimuli, and transports these signals to the human brain for further interpretation. In addition, the eye constantly moves – voluntarily or involuntarily – to adjust the light intensity by dilating, contracting the pupil, or focusing and following objects of interest. These eye movements can be recorded in real-time using eye-tracking devices. While stationary eye trackers provide high precision and are usually attached to a display, mobile eye trackers (e.g., as a standalone device or integrated into a head-mounted display) are worn like glasses, providing the possibility to move in an environment freely. Both eye-tracking devices allow for (e.g., gaze-based) interaction with a system or evaluation purposes. For example, websites or interfaces are potential use cases for evaluation with eye trackers. Here, areas of interest and focus sequences can be visualized as, e.g., heat maps or scan paths. However, previous research has shown that various eye movements can also indicate increased cognitive demand [63, 131, 133] or derive the user proficiency during interaction [151].

*4.3.1 Pupil Diameter.* Changes in pupil diameter are referred to as pupil dilation: This is an involuntary eye movement (i.e., a reflex), and the diameter can range from 1.5mm up to 8mm. Previous research has shown that pupils dilate with increasing task difficulty [47] across tasks like reading, problem-solving, or visual search activities [208], where the cognitive demand can be estimated in real-time using machine learning [131]. However, a significant influence on pupil diameter is the environment. In a darker environment, pupils dilate to acquire more light – pupils

contract to reduce the amount of light in a brighter environment. Thus, different methods and models (e.g., [195]) were developed to ensure a valid measurement of cognitive workload using pupil dilation: Here, the total pupil dilation is seen as the sum of dilation due to light intensity and cognitive workload. Knowing the pupil diameter for a given light intensity allows us to calculate the effect due to cognitive workload. The Index of Cognitive Activity (ICA) [164] and the Index of Pupillary Activity (IPA) [62, 63] follow a similar principle to separate light-invoked and cognitive workload-related changes in the pupil diameter.

**4.3.2 Saccades.** The eye movement that allows for shifting between two fixations (i.e., areas of interest) is called saccades. Saccades are voluntary eye movements that take 30 to 80 ms to complete and are usually visualized as scan paths to, for example, show a sequence of areas of interest. Previous research has shown that the size and speed of saccades are highly discriminatory parameters for an increased cognitive workload: Here, an increased cognitive workload is indicated by larger or faster saccades [47].

**4.3.3 Eye Blinks.** Eye blinks indicate the perceived workload of a user, whereas repeated involuntary eye blinks are a sign of cognitive fatigue. Previous research has shown that the rate and latency of blinks are related to cognitive workload: Lower blink rates and higher blink latencies indicate an increased cognitive workload [47].

**4.3.4 Fixations.** Fixations are voluntary eye movements that focus on an area of interest. Previous research has shown that the fixation rate and duration can indicate an increased cognitive workload. The fixation rate of an area of interest describes the number of times an object was looked at – this can be interpreted as a repeated interest in an area and relevant for the current cognitive activity [208]. The fixation duration was found to indicate an increased strain on cognitive workload. Previous research shows that the rate and duration of fixation are indicators of an attention shift due to increasing task complexity [47].

**4.3.5 Smooth Pursuit and Ocular Movements.** Smooth pursuit eye movements are necessary to follow a moving target closely. Smooth pursuits are voluntary and can be seen as a conscious decision to track a target. Previous research investigated how these movements can be used as an input technique (e.g., target selection) and real-time cognitive workload measurement. Previous work has also shown that smooth pursuit eye movements deviate from a given trajectory in situations with a higher cognitive demand [133] while being less prone to changes in light intensity (cf. pupil diameter).

## 4.4 Cardiovascular System

Within our autonomic nervous systems (ANS), two<sup>12</sup> opposing systems – the sympathetic (activating) and the parasympathetic (inhibiting) nervous system [145] – control bodily functions, such as heart rate (HR). These changes are reflected in the cardiac cycle, and related metrics, e.g., heart rate variability (HRV) [212]. Among others, external influences such as stress, emotion, and work impact these metrics and can be used to infer those [162]. This section highlights cardiovascular responses evoked by the ANS. Other related responses, such as dermal activity or pupillary response, are covered in their respective sections.

**4.4.1 Heart Rate.** Techniques such as electrocardiography (ECG) and photoplethysmogram (PPG) are used to monitor the user's heart rate and derived metrics in the time and frequency

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<sup>12</sup>Dependent on literature, the enteric nervous system is also included.

domains unobtrusively. Scenarios include, for example, office-work [49], elementary cognitive tasks [87], and automotive environments [244].

While heart rate-related metrics have been shown to indicate a user's cognitive workload, the abundance of external factors that additionally influence our ANS can make this modality ambiguous and warrant close control of confounding variables. Normalization techniques, such as establishing baselines for the sympathetic and parasympathetic nervous system responses [49], as well as relying on multiple modalities, may allow building more robust models [106].

**4.4.2 Respiration.** Research showed various links between respiratory activities and an increased cognitive workload: Here, respiration belts or thermistor-based flow sensors underneath the participant's nose were used to measure the respiration rate, its variance, and depth [106]. Increased cognitive workload can lead to higher and lower respiration rate variability [99].

**4.4.3 Temperature.** Here, recording facial and skin temperature via thermal imaging and temperature sensors has been investigated by previous research. A challenge is that temperature measurements significantly lag between stimulus onset and physiological response. Likewise, our temperature is influenced by the ANS. Research using thermal imaging found promising results in distinguishing cognitive load levels using standardized cognitive load tests [2]. However, in lower constraint scenarios, e.g., in a driving context, classification is more difficult [8]. Furthermore, using skin temperature sensors is often accompanied by other on-skin sensors (e.g., ECG, PPG). The availability of intelligent wearables makes these measurements readily available. Hence, models for cognitive workload estimation include multiple metrics derived from ANS responses. Here, temperature-related measures such as heat flux (heat transfer rate) are more robust [87, 217], most likely due to the less noise-prone method to measure them.

We classify temperature measurement as related to the cardiovascular systems as most works in HCI assumed the correlation between increased heart activity, skin temperature, arousal, and cognitive load. This reflects assumptions and partial evidence present in other fields. In physiological computing, Ikehara and Crosby [101] deemed temperature relevant for cognitive load measurement. In the human factors engineering field, temperature was linked to stress [256] and heart rate variability [74]. Thus, our review shows that HCI operates under the premise that skin temperature is correlated with cardiovascular processes induced by cognitive workload.

## 4.5 Dermal Activity

Another physiological response linked to the autonomic nervous systems is electrodermal activity (EDA), covering all electrical phenomena in skin [31]. There are various recording techniques, such as applying currents to measure the conductance or resistance of the skin. A significant use case in engineering psychology includes detecting different levels of arousal and stress [31].

Measurements of EDA and derived metrics have been successfully applied, including, e.g., user experience evaluation tools [76], detecting office workload [216] and driver-related tasks [202, 217, 224]. Similar to metrics related to heart rate, EDA suffers from ambiguity. External factors, such as body position and emotional stress [31] impact accuracy. Reported results in research fluctuate in terms of the discriminative power of EDA. While some work showed feasible accuracy [182, 202], other work argues that other modalities are superior [87, 201].

## 4.6 Task Performance

Traditional usability measurements for efficiency or effectiveness, such as time and error, could increase cognitive workload. Here, various studies report on task completion time (e.g., [45, 61]) and reaction time (e.g., [48, 82]) or the number of errors (e.g., [13, 61]) and task accuracy

(e.g., [45, 153]) – all highly related to changes in cognitive workload. Additionally, keystroke dynamics and linguistic markers of typed text (i.e., language production) were highly related to cognitive workload [34, 245].

#### 4.7 Haptic Interaction

Different modalities, such as input via pen or touch, proved to be valid discriminatory indicators of changes in cognitive workload. During handwriting with a stylus, the velocity [211, 260], frequency [210], trajectory duration [209], and pressure of strokes [260] were studied as indicators for cognitive workload. Similarly, touch input [39] – especially finger trajectories [173] – were used to measure cognitive workload.

#### 4.8 Speech

Following a think-aloud protocol in a user, the study allows researchers to analyze reasons for participants' behavior, mood, or expectations. Additionally, previous research has shown that participants' spoken words during an evaluation can be used to analyze the cognitive workload. Here, speech features such as the flow of words (e.g., tempo [42] or pauses [117, 118]), but also more nuanced features such as the lexical density [115], the spectral domain [259], or the pitch contour [39] while talking were shown to be indicators of changes in participants' cognitive workload. Additionally, linguistic features were analyzed to study participants' cognitive workload [116, 246].

#### 4.9 Body Movements

So far, previous research has investigated (1) postural behavior and gestures to assess phases of cognitive underload or overload [95], (2) mouse movements such as traveled distances [12] or pause/break activities [119], and (3) differences in glance duration at input modalities and output devices to solve a given task [61]. In addition, specialized measurements techniques, such as Electromyography (EMG), which records the electrical activity of muscles [110, 111, 171], can assess co-occurring physical demand to decompose cognitive load factors, such as the impact of motor memory [139].

#### 4.10 Biomarkers

Biomarkers (or biological markers) are measurable indicators of a medical state [230]. More generally, biomarkers can be used to indicate the physiological state. These markers play a significant role in medicine, e.g., stress biomarkers in behavioral therapy. Typical markers include cortisol, alpha-amylase, and pro-inflammatory cytokines for different biological stress systems<sup>13</sup> [178]. However, despite their distinctiveness, biomarkers have not been widely researched within the area of HCI. One primary reason is the intricate process of obtaining and analyzing biomarkers, often requiring lab work and physicians to draw samples. There is potential for accurate ground-truth labeling. A careful evaluation of the actual measurement is inevitable, as external stressors, e.g., the social-evaluative threat of performing well in a study, may impact biomarkers [49].

Salivary cortisol, usually used as a biological marker for stress [114], has recently been utilized for cognitive workload measurements [49]. Cortisol levels are measured from salivary probes that require laboratory analysis. While the study of salivary cortisol is a reliable indicator for stress and workload [120], the need for a laboratory analysis restricts the real-time capabilities of interactive systems. However, cortisol is a reliable measure of cognitive workload and represents a well-grounded measure in clinical research.

<sup>13</sup>Hypothalamic-pituitary-adrenal (HPA) axis, autonomic nervous system (ANS), and immune systems, respectively.

## 5 USING EVALUATION METHODS FOR COGNITIVE WORKLOAD IN HCI STUDIES

While our review demonstrates current challenges in understanding cognitive workload aspects in HCI, it also surfaced examples where the concept of cognitive workload was used effectively. In our review, we found 579 papers that used cognitive workload measurements in an HCI context. Most importantly, past work can guide if, how, and when to use cognitive workload measures. This section aims to provide a compiled step-by-step procedure (cf. Figure 4) on choosing suitable metrics, complemented through Table 1 categorizing the papers in the corpus in terms of measurement modalities and metrics used.<sup>14</sup> We suggest that researchers and practitioners deciding on the cognitive workload metrics for their studies first consult the procedure (cf. Figure 4) in Section 5.1 to get an overview of specific methods from related work in Table 1, and details on the particular applicability, advantages, and limitations of metrics in Section 4. The referenced papers in Table 1 and our interactive paper library can then be investigated to get more details on a selected metric.

### 5.1 Choosing Suitable Metrics for HCI Studies

Based on our understanding of the corpus of work included in this review, we propose a four-step procedure (illustrated in Figure 4), which can help researchers to choose optimal metrics for measuring cognitive workload in future HCI studies. We note that our procedure should only be used to select candidate modalities for use in studies of interfaces and concerns primarily the design goals of the system to be studied. The procedure serves primarily as a thinking tool for understanding the possibilities for measuring cognitive workload induced by an interactive system. The final choice of modality should be based on good research practice and the requirements for ecological validity in conducting studies using a particular modality.

*5.1.1 Step One: Are you Actually Evaluating Cognitive Workload?* When considering the measurement of cognitive workload in a user study, the first step is verifying if cognitive workload is the right concept. We observed that many papers equated cognitive workload to usability, flow, or design quality throughout the corpus. Thus, we propose double-checking if the expected difference between the experimental conditions in cognitive workload would not be a product of a different underlying concept. For instance, if the interface is frustrating due to low usability in one of the conditions, measuring cognitive workload may obscure the underlying cause of the difference. In other words, when researchers decide to measure cognitive workload, they must ensure that possible sources of cognitive workload can be reliably identified.

*5.1.2 Step Two: Do you Expect Cognitive Workload to Vary During System Usage?* If a researcher decides to measure cognitive workload, the next step is to choose the metrics and measurement instruments. The corpus in this review shows that researchers most likely prefer questionnaires due to their practical benefits – they are quick to administer and require a single interaction. In addition, the high number of past papers using questionnaires implies that using a questionnaire offers the advantage of comparing one’s work with a large body of past research. There are, however, established drawbacks to this method. Questionnaires usually provide only one measurement point per condition and rely on retrospection. Further, they can distract the user from interacting with the system. However, the wide use of questionnaires shows that, in many cases, the benefits outweigh the disadvantages. Thus, if a single-point, summative assessment of cognitive workload is enough to verify the hypotheses in the study, a questionnaire is an appropriate choice. If richer,

<sup>14</sup>We do not list papers that exclusively use questionnaires to assess cognitive workload in their studies. For a complete list of papers, including those that use questionnaires, consult our interactive paper library: [www.thomaskosch.com/cl-paper-library](http://www.thomaskosch.com/cl-paper-library) - last access 2023-01-24.



Table 1. Papers in the Review Corpus Classified in Terms of the Cognitive Workload Metrics used in the Reported Studies

Type	Metric	References
Cerebral	EEG Frequencies	[16, 17, 26, 52, 67, 70, 83, 86, 87, 100, 105, 106, 124, 130, 135, 138, 148, 150, 184, 197, 198, 207, 235, 243, 244, 268]
	EEG ERPs	[23, 26, 59, 81, 184, 214, 227, 243]
	Hemodynamic Response (fNIRS)	[3, 5, 32, 80, 96, 97, 104, 159, 161, 191, 192, 196, 221–223, 227, 261, 262]
	Electromagnetic Changes (fMRI)	[220]
Ocular	Pupil Diameter	[4, 5, 9–11, 18–22, 27, 28, 39, 44–47, 55, 58, 62, 63, 72, 87, 92, 94, 99, 103, 107, 108, 112, 142, 143, 153, 154, 157, 165, 175, 187–189, 195, 199–201, 207, 215, 227, 236, 239, 248, 249, 255, 257, 266]
	Saccades	[10, 21, 27, 44–47, 76, 87, 99, 165, 184, 193, 228, 236, 258]
	Eye Blinks	[4, 5, 27, 43–47, 76, 87, 99, 175, 182, 184, 236, 268]
	Fixations	[1, 10, 54, 68, 99, 140, 153, 184, 219, 228]
	Smooth Pursuits and Ocular Movements	[133]
	Heart Rate	[4, 10, 25, 27, 40, 41, 49, 50, 76, 78, 82, 85, 87, 99, 106, 146, 152, 160, 169, 184, 185, 194, 201, 202, 214, 216, 217, 224, 225, 227, 240, 244, 264, 264, 266, 268]
Cardiovascular	Respiration	[87, 99, 106, 184, 185, 194]
	Temperature	[1, 2, 8, 87, 184, 185, 194, 216, 217, 268]
Dermal	Skin Conductance (EDA)	[25, 50, 51, 76, 78, 87, 99, 106, 134, 182–185, 194, 201, 202, 215–217, 224, 225, 227, 268]
Task Performance	Number of Errors	[13, 30, 61, 113, 266]
	Task Completion Time	[7, 45, 61, 68, 153, 184, 242]
	Task Accuracy	[45, 153]
	Reaction Time	[48, 79, 82]
	Keystroke Dynamics	[34, 227, 245]
	Language Production	[34, 245]
	Real-Time Self-Report	[226]
Haptic	Pen Input	[209–211, 260]
	Touch Input	[39, 102, 173, 247]
Speech	Lexical Density	[115]
	Tempo	[42]
	Pauses	[117, 118]
	Spectral Domain	[259]
	Pitch Contour	[39]
	Linguistic Features	[116, 246]
Body Movement	Muscle Contractions (EMG)	[41, 201]
	Glance Duration	[61]
	Mouse Movement	[12, 119]
	Postural Behavior and Gestures	[95, 227]
Biomarkers	Salivary Cortisol	[49]

The bold values refer to the most used and prominent cognitive workload measurement modalities highlighted in Figures 3 and 4. We did not include questionnaires in the table due to a large number of entries.

formative data is needed, researchers should use other methods. The procedure ends with this step if a questionnaire is chosen.

### 5.1.3 Step Three: Does your System Need to Adapt to Changes in the User's Cognitive Workload?

Having decided that the study will use more granular measures than questionnaires for cognitive workload, we suggest that researchers inquire if the system will use cognitive workload to learn about the implications and improve the interface design or as user input itself, i.e., whether the study will involve elements of the studied systems changing their parameters based on measured cognitive workload. Our corpus showed that such systems are increasingly present in HCI literature. In cognitive workload-based adaptation, the metric considerations may primarily stem from how the system is implemented. In such systems, the recommendation is to **Use modalities that offer robust data that can be processed quickly**. For example, cognitive workload data may need to be processed rapidly for the system to adapt interactively. The input should also be easily interpreted so the system can adapt algorithmically. In contrast, if the system does not need to react to the current cognitive workload, researchers can choose complex, data-intensive metrics that can be analyzed and interpreted after completing the study. Thus, when cognitive workload is not used, for adaptation, we recommend to **Use modalities that offer rich data for detailed analysis**. This may offer additional insight into the workload induced by the system.

**5.1.4 Step Four: Choose a Modality Based on the Considerations.** The final step in the procedure is choosing a metric of the required richness and complexity. The selected metric must provide a volume of data that can be effectively processed and offer the necessary richness to understand a given system. Researchers can also employ multiple metrics to build hybrid assessments of cognitive workload. This, however, appears to be complex as the fraction of papers using such procedures in our corpus is low (see Figure 2). To facilitate this difficult choice, we provide a classification for the most common measurement modalities in terms of increasing complexity (i.e., data volume) and decreasing speed for the last step of this procedure (see Figure 4). An informed choice of modalities can best be made by studying the examples provided for each modality in Table 1.

## 5.2 A Note on Selecting Questionnaires

If a researcher decides to end the procedure in step two and use a questionnaire, they can choose which questionnaire to use. Our corpus shows that the NASA-TLX is significantly more prevalent in HCI research and may often appear to be the optimal choice. However, throughout this paper, we noted that the reasons for the scale's success are unclear. The primary reason behind the use of NASA-TLX appears to be community convention (cf. Section 6.2). Consequently, we would like to encourage HCI authors to consider alternative scales that may offer advantages over the NASA-TLX. For example, Zijlstra's [267] RSME is a single-item rating and may enable rapid evaluations or be used in experience sampling; the ISA scale [237] can provide near real-time assessment; while the Bedford Workload Scale [205] can provide insights into potential mental overload situations for the user—all roles for which the NASA-TLX is not well suited. Further, one should not forget that the use of certain metrics places strict requirements on the experimental design, e.g., block designs are usually recommended for cerebral modalities. Thus, the final design of a user study that uses cognitive workload measurement is almost always a trade-off between the ecological validity of the task and the requirements posed by the cognitive workload measurement modality.

## 6 FUTURE DIRECTIONS AND CHALLENGES OF COGNITIVE WORKLOAD RESEARCH IN HCI

Research in HCI has a broad and, at times, vague understanding of the concept of cognitive workload, as evident from the results of our review. We presented current practices, related

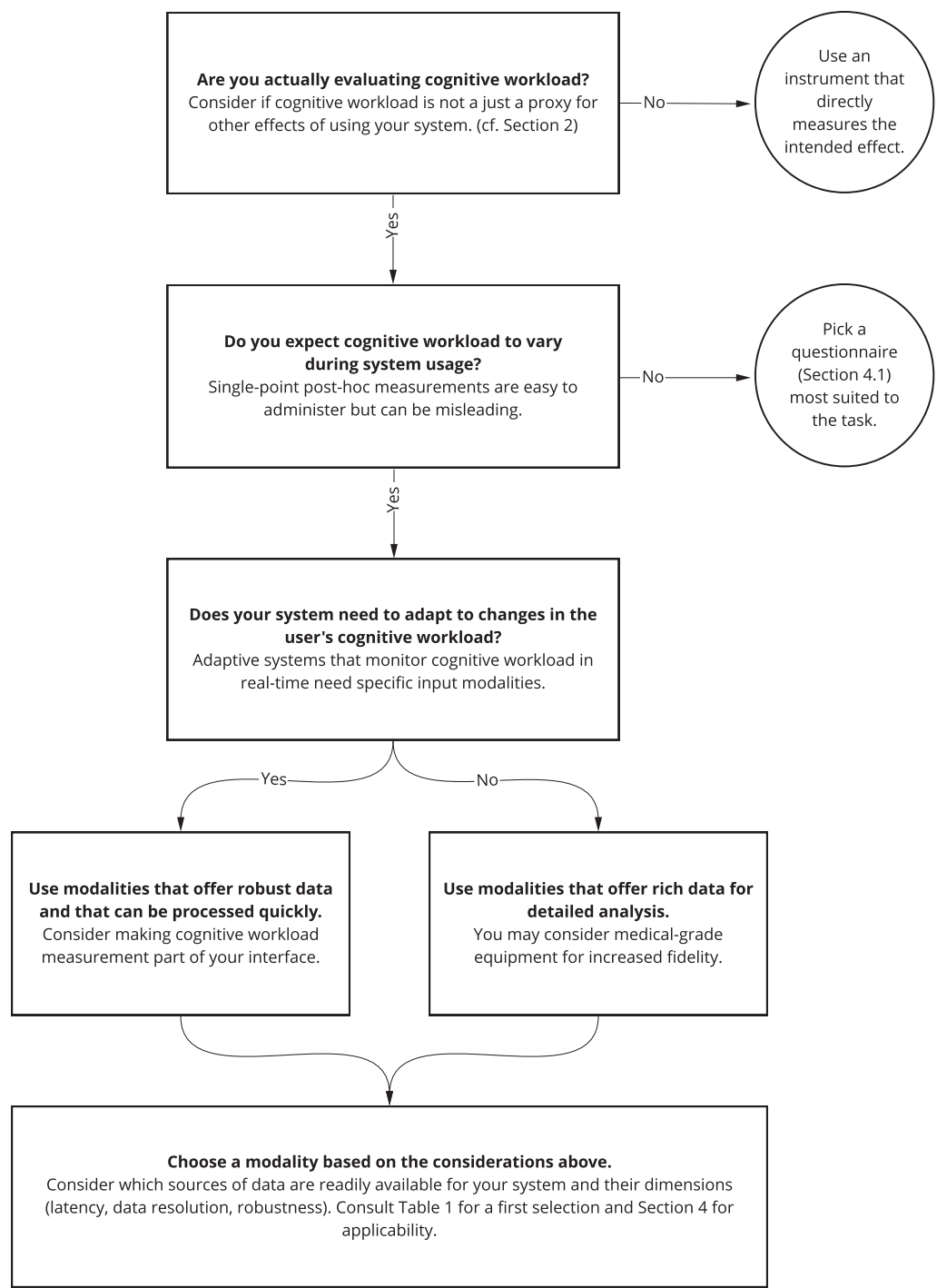


Fig. 4. A step-by-step procedure to select appropriate cognitive workload measurements. HCI researchers can use this procedure as a reference for future studies.

measurement modalities, and metrics often used in contemporary HCI research (cf. Section 4). Additionally, our step-by-step guide on choosing suitable metrics (cf. Section 5) provides a functional categorization for researchers to choose a suitable cognitive workload measurement modality. However, our review has also showcased open research gaps, where choosing the correct metric can still be suboptimal depending on the research question. Choosing the right assessment for the right task has been recently discussed in various research publications (cf. [15, 60, 64, 65, 126, 186, 265]), but especially HCI research needs to deepen and conceptualize our understanding of cognitive workload in interacting with computer systems.

### 6.1 Defining and Interpreting Cognitive Workload in HCI

The results of our review show that cognitive workload is perceived as a qualitative component of an interactive system. The presented interfaces are designed to handle the user's cognitive resources economically. This is communicated as an explicit design goal or, more commonly, implied while evaluating user interfaces. In most reviewed papers, cognitive workload is used as an implicit concept. It is assumed that the reader is familiar with it. Our review showed that our search terms initially resulted in many papers that mention cognitive workload as a justification for specific system design choices (see Section 3.4). Consequently, low cognitive workload is often treated as equivalent to high system quality without necessarily determining what features or properties of the system's design lead to a perception of quality.

The current concept of cognitive workload in HCI is generalized without taking the individual, temporally changing, cognitive resources of the user into account. Further, as there is no definition of workload widely recognized by the community, researchers should define their understanding of cognitive workload, mainly if the concept is used to explain study results:

**Research Gap 1:** HCI implicitly uses cognitive workload without discussing which workload components are measured (e.g., working memory, visual imagery). HCI research should engage with the underlying theories of workload to improve how empirical results are interpreted.

We suggest that researchers define their understanding of cognitive workload in cases where cognitive workload levels are reported. Consequently, researchers must elaborate on how they expect different interfaces or interface adaptations to affect cognitive workload. **Thus, it remains a challenge to study how different usability components and usability-related qualities of interactive systems are linked to different types of cognitive workload measured by various methods.** There is a need to evaluate novel systems in terms of both cognitive workload and usability using robust, multi-dimensional measures. In that case, **the HCI field can gradually build a meta-understanding of the relation between usability and cognitive workload.**

### 6.2 The Hidden Cost of the NASA-TLX—A Legacy Issue?

A key finding of our literature review is that the HCI field prominently relies on using questionnaires and particularly on the NASA-TLX questionnaire for assessing cognitive workload. Previous work suggests combining the NASA-TLX questionnaire with other measures to increase the meaningfulness while improving the interpretation of cognitive workload measures [64]. One could risk stating that the NASA-TLX questionnaire has become a local standard for the HCI research community. This is likely due to historical reasons since many HCI pioneers had roots in human factors engineering. The NASA-TLX was prominently used in human factors engineering in the nascent days of HCI. Since then, the HCI community has applied the scale in various modalities and contexts, leading to individual deviations in the subjective interpretation of the NASA-TLX. Although the NASA-TLX questionnaire is affected by several drawbacks, it has remained a frequently used

workload measure throughout the past decades. Potential reasons for the continued widespread use of the NASA-TLX include its simple usage and analysis of the questionnaire data. In addition, the fact that NASA-TLX results can be rapidly obtained facilitates its use in comparative studies. Despite these benefits, reliability and replicability are limited when sampling individuals. Our results show that using the NASA-TLX could be interpreted as an academic tradition, despite being introduced to the HCI field without an extensive explanation [33]. However, given the ever-growing number of research contributions in the HCI field, it is worthwhile to critically reflect on how the HCI field uses and interprets the results of the NASA-TLX questionnaire.

Our review shows that convenience and speed are key advantages of the NASA-TLX and questionnaires in general. In particular, the unweighted variant of the NASA-TLX, commonly known as raw NASA-TLX, provides a quick assessment of perceived task load, including cognitive workload. Researchers often use it in repeated-measures study designs where participants must complete the questionnaire multiple times. If practical considerations are the primary motivation for choosing a cognitive workload evaluation method, one might wonder if convenience does not negatively impact how the evaluation is conceptualized; mainly since in most studies in our corpus, only the unweighted scores (raw NASA-TLX) are analyzed. Such a choice offers the convenience of not hypothesizing which components examined in the NASA-TLX (cf. Section 4.1.1) are crucial in a given system. Instead, **the scale is employed as an accurate universal measure of cognitive workload *per se***. Furthermore, the NASA-TLX questionnaire is susceptible to individual biases through user expectations, leading to improved assessments of user interfaces by belief and placebos [136]. It remains a challenge for the HCI community to further reflect on *if* and *how* the workload induced by a novel interactive system can be accurately measured with a scale that is more than 30 years old and not designed for HCI research:

**Research Gap 2:** HCI still relies on scales for measuring cognitive workload adapted from another field in the early days of HCI. The validity of these scales should be reassessed. We must develop new measurement instruments for cognitive workload specific to computer interaction.

Consequently, future researchers should be wary that the NASA-TLX is an inherited rather than an efficient tool. Our review found no empirical evidence to determine the range of artifacts or experiences to which the NASA-TLX would be applicable. This implies that **we currently do not know how correct the NASA-TLX is in estimating cognitive workload in HCI**. Hence, **future researchers should be cautious in ascribing explanatory properties to the scale's dimensions**. Interestingly, the NASA-TLX often serves as a *fallback* or baseline measurement when assessing cognitive workload with other metrics (e.g., pupil diameter) to see how reliable a novel measurement is. Instead, future research should revert this methodology by first studying cognitive workload via robust physiological measurements to (1) validate the dimensions of the NASA-TLX, and (2) identify how user studies have to be designed to minimize the biases of the NASA-TLX and questionnaires in general. We envision this direction ultimately leading to an adapted variant of the NASA-TLX that better fits the HCI domain as a pacing and evolving research area.

### 6.3 HCI Research Has to Become a Catalyst for Creating Workload-Aware Systems

The presented literature review reveals that the concept of cognitive workload is inherently relevant to HCI research. However, HCI researchers still largely rely on suboptimal evaluation methods adapted from adjacent research domains, often failing to capture the multi-faceted nature of interactive systems in HCI research. We argue that HCI researchers are equipped with the tools to explore further the role of cognitive workload in interacting with computers beyond



measuring performance and usability. Integrating cognitive workload as an input parameter for workload-aware systems should be at the heart of HCI's expertise. Understanding and using cognitive workload in interactive systems can effectively support users in real-time when performing their tasks. How the design of such support (e.g., through adaptive workload-aware mixed reality interfaces [123, 137]) would look like remains a question for future research. Thus, HCI should not be content with a static evaluation of interfaces but look at how we can create workload-aware systems that make best use of the user's current cognitive resources:

**Research Gap 3:** Research in HCI needs to capitalize on its opportunities and create interactive systems utilizing cognitive workload as an input parameter for workload-aware systems. The field should innovate by combining established methods (i.e., questionnaires) with novel measures (i.e., physiological sensing) to gain detailed insights into the user's cognitive demand.

Our literature review showed that **physiological measures can provide a detailed, real-time understanding of the user's cognitive processing**. Consequently, HCI should lead in creating interactive systems capable of supporting an individual's optimal point for cognitive engagement. We strongly believe that real-time cognitive workload sensing capabilities will be essential to achieve this goal.

## 7 CONCLUSION

This paper presents a literature review of current practices measuring cognitive workload in Human-Computer Interaction (HCI) studies. Our survey found 579 relevant papers that assess cognitive workload using questionnaires, physiological sensing, and human behavior. Our results show that the contextualization and selecting suitable cognitive workload measures is a challenging subject in HCI research. We present a step-by-step procedure for selecting a suitable cognitive workload measurement modality for their studies to guide researchers. Our survey reveals three research gaps in the current landscape of HCI research on cognitive workload: improving the definition, broadening the choice of measures, and developing more workload-aware systems. The gaps intend to stimulate future research on cognitive workload assessments in HCI. Future researchers and user experience designers will determine how cognitive workload measurements can be integrated into their interaction paradigms and evaluation settings. Our review shows that questionnaires are a popular method to assess cognitive workload due to their easy deployment, use, and analysis. However, the insights into cognitive processes obtained using questionnaires could be more extensive. In contrast, more advanced cognitive workload metrics (e.g., through physiological sensing or user behavior) require user-friendly methods, interfaces, and deployment strategies to be adopted in future research. Our work provides a structured overview of current cognitive workload measurements and the means for future researchers and designers to choose an appropriate metric for their particular study or design. Yet, this literature survey makes evident that HCI lacks a proper understanding of the concepts underlying cognitive workload. Thus, research towards tailored frameworks and theories is necessary to consolidate the understanding of cognitive workload in HCI.

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